University for Peace
Universite pour la Paix

Doctor of Philosophy with Specialization in
PEACE, Governance and DEVELOPMENT (PGD)

PHD Programme Booklet

UPEACE main Campus, San Jose, Costa Rica

UPEACE Africa Regional Programme, Addis Ababa, Ethiopia

Africa Regional Programme (UPEACE)
Contact: P.O Box 2794, Addis Ababa
Tel: +251-11-667-0547
Email: africaprogramme@upeace.org/rgetachew@upeace.org
From the Dean: University for Peace, San Jose, Costa Rica

Dr Juan Carlos Sainz-Borgo

The United Nations Mandated University for PEACE (UPEACE) was created through General Assembly Resolution 35/55 of 5 December 1980, with the mission to:

‘provide humanity with and International Institution higher education for peace and with the aim of promoting among all human beings the spirit of understanding, tolerance and peaceful coexistence, to stimulate cooperation among peoples and to help lessen obstacles and threats to world peace and progress, in keeping with the noble aspirations proclaimed in the Charter of the United Nations.”

In 2015 UPEACE commemorated its 35 anniversary of existence. Following the commemoration, the University for Peace is undertaking a process of strengthening and relaunching its core mission on the basis of contributing more decisively to the four essential pillars of the United Nations. Namely, the ongoing search for peace; the promotion and protection of human rights; adopting measures conducive to sustainable development; and achieving consensus among all actors around the urgent need to halt the serious impact of climate change.
Within this framework, UPEACE is also creating more opportunities through its regional programmes in Africa, Europe and South East Asia to build capacity in education for Peace and sustainable development.

In this light the Africa Regional Programme is strengthening its core mission of implementing Certificate, Masters and Doctoral programmes focusing on issues of Peace, Conflict, Governance, security and development. One of these programmes is the Doctor of Philosophy Programme in Peace, Governance and Development. This immensely exciting opportunity will continue to strengthen doctoral capacity in African Universities and Research institutes thus providing Africa with the required human capacity necessary for sustainable development.

We hope current and prospective students will benefit from the unique system of the University for Peace.

Juan Carlos Sainz-Borgo
Dean: University for Peace
San Jose Costa Rica
From the Director of University for Peace: African Program

Prof Samuel Kale Ewusi

The Africa Regional programme of the United Nations University for Peace has established itself as a Centre of Excellence in teaching, training, research and publication in the area of Peace, conflict, governance, security and development. Since its establishment in 2002 in Geneva, Switzerland and in 2005, in Addis Ababa, Ethiopia, the Africa Regional Programme through its collaborative approach has organized more than 41 capacity building trainings for academics, practitioners and researchers. The Africa Programme has published about 35 books, 19 issues of the Africa Peace and Conflict Journal (APCJ) and 5 issues of the UPEACE Africa Policy Series. UPEACE Africa has also provided scholarships to a total of 56 doctoral students studying at 27 Universities in Sub-Saharan Africa.

Since 2007, the Africa Regional Programme has been implementing a joint Master’s Program in Peace, Governance and Security with the Institute for Peace and Security Studies at Addis Ababa University.
as well as the Doctoral Program in Peace, Governance and Development. UPEACE Africa Regional Programme also participated in the development and teaching of the PHD in Global Studies with specialization in Peace and Security in Africa jointly with the Institute for Peace and Security Studies and the University of Leipzig, Germany.

Following the end of the Great Lakes Programme which established 10 master’s Degree Programs at institutions in the Great Lakes Region of Africa, UPEACE established the Great Lakes Universities Network which enabled the establishment of a joint PHD Programme in Peace Governance and Development with Uganda Martyrs University and Copper belt University, Zambia. The first batch of students taking courses at Copper belt University Zambia.

However with the end of the IDRC funded Program to strengthen teaching and research capacity at the doctoral level in Sub-Saharan Africa which led to the completion of 56 doctoral students specialized in Peace, Governance, Security and Development, UPEACE reached agreement with the Pan African Institute for Development PAID to jointly deliver the PHD Programme in Peace, Governance and Development with UPEACE concentrating on Peace and Governance while the Pan African Institute for Development focuses on the Development area. The first cohorts of doctoral students are currently taking course work at the UPEACE Africa Regional programme through block courses.

The implementation of the program will be handled by the Africa Regional Program and the accreditation, validation and issuing of certificates will be done in the UPEACE Main Campus through the office of the Dean of International Cooperation.
Several considerations informed the choice of the degree name and key among these was a focus of the program on African problems in peace governance and development. Three “pathways” (specialisation) were therefore suggested to be reflected in the degree name to emphasise, a unique approach to governance and peace embedded in development. Governance and peace are embraced as useful tools in synthesizing and presenting evidence as well as deepening understanding of development.

Furthermore the program is a mix of competencies in terms of conceptual knowledge, skills and experiences that straddle governance, peace and development. The PhD in peace, governance and Development offers a unique opportunity for interdisciplinary examination of governance, power, authority, conflict, peace and development in the global and African contexts. Graduate students in the program will examine the variety of actors, institutions, ideas, rules, and processes that contribute to governance, development and security management. In addition, international organizations and inter-state relations, the study of African governance examines the plethora of non-state actors as well as rules, norms and institutions. The Peace, governance and Development Ph.D. program interrogates the concepts, tools, and assumptions that have served scholars in the past and assesses new approaches for addressing contemporary and future challenges.

The program will be a center of excellence in the emerging interdisciplinary field of peace, governance and development. The
program will bring together a number of leading faculties from UPEACE partner universities and the Pan African Institute for Development who has published extensively in the proposed areas of specialization. As an African centered Program it will build on its strengths in a broad range of substantive and methodological areas by developing niches of particular areas of excellence in which it is bound to have comparative advantage.

The niches developed will seek to generate a nexus between theory and praxis and policy that capitalizes on the unique nature and location of the program of the implementing university. The location of UPEACE in Addis Ababa, the seat of the African Union (AU) and the United Nations Economic Commission for Africa (UNECA) and the hundreds of embassies and regional international organizations offers a unique opportunity to students for gaining practical experiences of policy relevant matters. Students will get an opportunity to be exposed to international standards in this.

**PHD Programme Goal**

*The primary focus of the programme* is in teaching and research. It is expected that the program will develop an atmosphere where faculty are accessible to students. Teaching and research will be complimentary with each other and the program will encourage faculty and students to jointly publish cutting edge research materials.
The PhD in Peace, governance and Development aims to achieve the following inter-related goals:

- Produce PhD holders with advanced skills in teaching, research, managerial, and strategic knowledge and skills necessary for leading and managing various facets of contemporary state and private institutions and organizations;
- Develop within each candidate the expertise and skills necessary to be an effective educator and faculty member;
- Produce researchers who can advance governance, peace and development theory and practice, and enhance the contributions that public policy analysis can make to the larger community;
- Encourage creativity, analytical thinking, critical analysis and innovative problem solving; and,
- Produce graduates with advanced capabilities in leadership and managing change. Graduates will be able to examine any formal organization and discern its strengths, weaknesses, opportunities and threats. In turn, they will be able to make prognoses and prescriptions accordingly.

PHD Programme Objectives
The program seeks to achieve the following specific objectives:

- Promote Multi-disciplinary, multi-national, multi-actor research and education in the areas of governance, security and development;
- Contribute fresh insights and cutting edge innovations that build on a “science of governance, security and development”.
- Provide a web-based collaborative workspace for practitioners and scholars;
- Facilitate students to produce articles of such a quality as to be acceptable in international peer-reviewed journals; and,
- Add a competent, scholarly voice from Africa in the major global debates on governance, security and development;
- Encourage collaboration between government, civil society and academia in addressing societal problems of governance, security and development.

**Selection Selection Process**

The Program rests on three key Pillars:

1. **Selection Process (SP)**

Institutions may apply to provide and sponsor a group of students into the program. In this case UPEACE will sign and MOU which states the conditions of the implementation of the program. However the candidates provided by UPEACE partner institutions must fulfill both the academic, linguistic and professional admission requirements applicable to all University for Peace students undertaking doctoral programs. Individuals with the requisite academic, linguistic and professional background may apply directly to the UPEACE Africa regional program in Addis Ababa.
1.1 Eligibility and Selection process
The PhD in PGD programmes aims to attract a rich diversity of qualified students from graduate students as well as early and mid-professionals seeking to build on their expertise. Uniform minimum eligibility criteria and a rigorous selection process will ensure that the students admitted into the program are motivated, capable of coping with the demands of the program and developing the required competencies. It will also help ensure that all PGD universities forward students of similar quality.

Partnering Universities will identify students with a range of desirable characteristics (e.g. good analytical skills; creative; resourceful; hard working; commitment to public interest; and, strong ethical orientation). Additionally, a diverse student population (gender, ethnic, religious, region, disciplinary background, previous work experience etc.) will enrich opportunities for students to learn from each other through sharing knowledge and experiences.

To be considered a candidate for admission all applicants in all universities must meet the following minimum eligibility criteria:

- Must have acquired a strong Master’s degree, in a social science or related discipline from an accredited university.

Eligible candidates will subsequently be considered using a common two-stage selection process determined by each university. The selection process should include:
Applications with: a) personal details; b) academic record to date; c) academic and/or professional references; and, d) a letter of motivation.

Followed by one or more of the following: a) personal interview; b) written or oral examination, assignment, or task.

*Note that verification of admission requirements will take place throughout the period of the programme and students may be denied certificates in the event of the applicant providing false information.

2. Direct Residential Teaching Interaction (DRTI)
This requires the students to take 8 key courses organized in blocks of 2 courses during the first year of the program at UPEACE Africa Regional Program in Addis Ababa Ethiopia or any designated country organized by UPEACE Africa Program and facilitated by a Professor.

2.1 Requirements for validation of Face to Face Courses
The students will be evaluated on the following basis:

- Attending all the lectures
- Five student led seminars per course
- Submit a publishable article/Policy brief/ Book chapter or
- Provide proof of a publication relating to the course
Doctoral Student led Seminars at the Library of UPEACE Africa Programme

FIRST BATCH OF DOCTORAL STUDENTS IN PEACE, GOVERNANCE AND DEVELOPMENT
COURSES

PGD 701 – Research Methodology
PGD 702 – Introduction to International Peace Studies
PGD 703 – Tools for Conflict Resolution and Transformation
PGD 704 – Political Economy of Peace and Conflict
PGD 705 – Governance and Public Policy in Africa
PGD 706 – Security Sector Reform and Governance
PGD 707 – Human Security and Sustainable Development
PGD 708 - Development Theories: African Perspectives

Supplementary Courses
PGD 709 - Gender Peace and Security in Africa
PGD 710 - Human Rights and Democracy in Africa
The PGPD design involves a sequence of learning experiences that utilize ‘Blended Learning’ as the modes of delivery. PGD courses are designed to be highly participatory; using a mix of individual mentorship, teaching, research activities, exposure to outside practitioners and self-directed learning.

Common course materials of cutting edge standard, texts, journals, case studies as well as exposure to current international information, personalities and practices will support delivery. Emphasis will be placed on distance learning (on line), use of visiting staff and exposure to research and policy practitioners.

**Accession to Doctoral Candidature**

Elevation to doctoral candidature requires the student to complete and validate all the face to face courses and pass a comprehensive examination. Students who do not satisfy the requirements above will not be allowed to take the comprehensive examination. Upon passing the comprehensive examination students who do not complete their research will be awarded an MPhil Degree (Master of Philosophy Degree in Peace Governance and Development. A transcript indicating the grades attained in the DRTI will be provided to the students.

The third pillar of the doctoral program requires the doctoral candidates to undertake a field research on a topic approved by
the Doctoral Committee which will be convened after the comprehensive examination. The doctoral candidates are expected to provide a 15 page proposal consisting of the following:

a) Background/Introduction, a well-articulated research problem in the areas of peace, governance and development
b) Research Aims/Objectives
c) Significance of the Study
d) Methodology
e) Theoretical or Conceptual Framework
f) Preliminary Literature Review
g) Thesis Outline

Upon Submission of the proposal, the PhD Committee will select supervisor/Supervisors for the candidate who will guide the candidate towards the completion of the final proposal. It is expected that the candidate will defend the proposal through a process organized by UPEACE Africa Regional Program. In case the candidate successfully defends the proposal, an ethical clearance to proceed to field work will be issued by UPEACE.

Completion and Thesis Defense

Upon completion and production of the thesis, the candidate will be expected to defend the final thesis in front of an internationally assembled jury selected by UPEACE in consultation with the Headquarters in Costa Rica. A member of the Jury must be selected from staff of the Headquarters in Costa Rica. A successful defense of the final thesis will lead to the award of the Degree of Doctor of Philosophy in Peace, Governance and Development with a specialization based on your choice of thesis topic.

Considering that the Africa Regional program does not have a fully-fledged campus, graduations will voluntary. All UPEACE graduations take place at the main Campus in Costa Rica.
Students in this program who want to participate in the graduation ceremony will cover the entire costs associated with their graduations.

**Timely Completion of the PhD**

The PhD Program is designed to be completed within 3-4 years. The program is structured to enable and encourage timely completion of the Program. For the typical Ph.D. candidate, all requirements of the Ph.D. Candidature should be completed by the end of the first year, and the thesis topic will usually be approved by the end of the second year after the mentoring by the supervisor. Ph.D. candidates are ordinarily required to defend their Ph.D. thesis in not less than 3 years and not more than 5 years from the time they enroll in the program. Any additional extension will attract a 25% of the entire tuition fees.

Because of the way the program is structured, all students are expected to graduate within the specified periods (normally three to four years). Should a student fail to graduate within this time period, the program may be forced to terminate the student’s enrollment unless an extension of time to complete the degree has been approved by the Committee and UPEACE. The program will grant the first extension of no more than a year on recommendation of the student’s mentor and the Director of the UPEACE Africa Regional Program. Extensions will not be more than two (2) years.

From the outset, students will be expected to cover the entire costs of tuition, registration, transport and accommodation while attending the
residential programme. The University for Peace will cover the costs associated with visiting Professors and teaching materials.

Registration: $2500

Yearly registration: $1000

Tuition (3 years): $20,000

*Note that discounts of 25%, 50% and 75% may apply depending on the status or personal requirements of the student. Status refers to former UPEACE student, Staff of Partner Universities, UN staff, or member of the diplomatic corps. The Director of the Africa Regional Programme of UPEACE is responsible for the implementation of these discounts.

**Faculty – PHD Committee**

- Prof Juan Carlos Sainz-Borgo – Dean: University for Peace
- Professor Samuel Kale Ewusi – Director: Upeace Africa Programme
- Prof Jean Kamdem – Secretary General: Pan African Institute for Development
- Professor Roger Mondoue – PHD Programme Coordinator: Pan African Institute for Development
- Professor Jude Kagoro – Bremen University, Germany
- Dr Daniel Ekongwe – Pan African Institute for Development, West Africa
- Professor Geoff Thomas Harris – Nelson Mandela Metropolitan University- South Africa
- Prof Kingsley Ngange – University of Buea - Cameroon
- Dr Solomon Asiimwe – Nkumba University, Uganda
- Prof Collins Brobbey – University of Ghana Legon - Ghana
- Prof Amr Abdalla – IPSS – Addis Ababa University – Ethiopia
- Prof Sunday Okello – IPSS – Addis Ababa University Ethiopia
Prof Zenebe Beyene – Addis Ababa University - Ethiopia
Dr Chinedu Ekwealor – University of KwaZulu Natal - South Africa
Prof Abuelghasim Gour – Sudan University of Science and Technology, Sudan

Visiting Professor
Professor Alice Hills – University of Durham, UK
Prof Kenneth Omeje – University of Bradford, UK
Prof Richard Weisfelder – Toledo University Ohio, USA

UPEACE PARTNERS IN AFRICA
- Addis Ababa University – Ethiopia
- Uganda Martyrs University, Uganda
- University of KwaZulu Natal, South Africa
- Copperbelt University, Zambia
- University of Rwanda, Rwanda
- Universite Libre des Pays des Grand Lac, Ngoma, DRC
- Universite Catholique de Bukavu, DRC
- Police Command and Staff College, Uganda
- Catholic University of Eastern Africa, Kenya
- Universite du Burundi, Burundi
- Cheik Anta Diop University, Senegal
- University of Hargeisa, Somaliland
- Africa University, Zimbabwe

Specialized Institutional Partners
- Pan African Institute for Development, Cameroon
- Institute for Peace and Security Studies, Addis Ababa University
- Centre for Conflict Management, University of Rwanda
- Institute for Peace and Conflict Studies, University of Hargeisa, Somaliland
- Peace Culture Centre, Sudan University of Science and Technology
- African Indigenous Knowledge Centre of Excellence – UKZN, South Africa
- Centre for Justice and Ethics – Catholic University of Eastern Africa, Kenya

UPEACE Africa Academic Advisory Board
- Dr Abdalla Hamdok, Deputy Executive Secretary – UNECA – Chair
- El Ghassim Wane – Director: Peace and Security Africa Union Commission – Vice Chair
- Dr Monica Juma – Permanent Secretary Government of Kenya – UPeace Council Member
- Dr Sam G Amoo – Former National Security Coordinator – Government of Ghana
- Prof Naison Ngoma – Vice Chancellor – Copperbelt University Zambia
- Dr Jean Bosco Butera – UN Women/Africa Union – Pioneer UPEACE Africa Director
- Prof Maximiano Ngabirano – Dean: Uganda Martyrs University
- Dr Juan Carlos Sainz-Borgo Dean UN University for Peace, Costa Rica (Ex officio)
- Prof Samuel Kale Ewusi – Africa Programme Director (Ex officio)